

Audits completed since the last Committee meeting (27th March 2023)

<u>Audit title</u>	<u>Critical Risk</u>	<u>High Risk</u>	<u>Medium Risk</u>	<u>Low Risk</u>	<u>Total no of Exceptions</u>	<u>Overall Assurance level</u>	<u>Summary</u>
Housing Benefits	0	0	0	4	4	Assurance	<p>The 4 low risk exceptions raised related to:</p> <ul style="list-style-type: none"> • A review of the Benefits procedure notes that are more relevant and bespoke to CDC will be undertaken • A new process will be introduced for claims that are manually created (this includes B&B claims). These claims will be reviewed to ensure a risk rating has been assigned • Reconciliation should be performed each quarter and in a timely manner • Consideration should be given to amending the Council policy relating to compliance with GDPR

Follow-ups

<u>Audit title</u>	<u>Issues addressed</u>	<u>Issues ongoing</u>	<u>Total</u>	<u>Initial Assurance Level</u>	<u>Follow-up Assurance Level</u>	<u>Comment</u>
Discretionary Housing Payments - Follow Up	5	0	0	Assurance	Assurance	N/A
Treasury Management – Follow Up	1	0	0	Assurance	Assurance	N/A